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ASYMMETRIC INDUCTION IN PD CATALYZED ENVNE CYCLOISOMERIZATIONS

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Summary: Asymmetric inductions up to 71% have been observed in a Pd catalyzed version of an intramolecular Alder ene reaction.

The thermal Alder ene reaction has had few applications in organic synthesis because of limitations in scope.¹ Metal catalyzed versions provide an opportunity to overcome such limitations, but also do much more. For example, Pd catalyzed cycloisomerizations of enynes² represent a flexible approach to a variety of products by simple manipulation of the catalyst as outlined in Scheme 1 (paths *a-d*), whereas a thermal process is limited to path *a* if it proceeds at all. Further variations become possible depending upon the addition of SCHEME 1. Pd Catalyzed Cycloisomerizations and Related Reactions of Enynes



various traps (Scheme 1 paths e^3 and f^4) or initiators (Scheme 1, path g^5). The excellent chemo-, regio-, and diastereoselectivity characteristics of the reactions make them suitable for application in the synthesis of complex natural products which include sterepolide,⁶ petiodial,⁷ merulidial,⁸ dendrobine,⁹ chokol C,¹⁰ β -necredol,¹¹ phyllanthocin,¹² and vitamin D metabolites.¹³ Another prospect for these metal catalyzed reactions is the ability to control absolute stereochemistry. While we focus on the Alder ene reaction, we hope what we learn will be extendable to the other processes illustrated in Scheme 1. In this letter, we communicate our efforts to explore tartaric acid derivatives and our recently described chiral ligands¹⁴ as chiral inducing elements.

Since our previous work suggests that a free carboxylic acid substituent enhanced selectivity,¹⁵ tartaric acid derivatives appear as potentially ideal chiral auxiliaries¹⁶ since their functionality provides an easy point of attachment while maintaining a free carboxylic acid. Using dibenzoyltartaric anhydride,¹⁷ the chiral auxiliary is readily attached to a substrate bearing an alcohol substituent as in eq. 1. Because the chiral auxiliary resides rather remotely from the newly forming stereogenic center, these substrates represent a rather severe test of the ability of the tartrate to function as a chiral auxiliary. Stirring a solution of substrate $1a^{18}$ with 3% (dba)₃Pd₂•CHCl₃ (3) and 6% ligand in benzene-d₆ at ambient temperature give the cycloadduct usually in 60-

70% yields (eq. 2). The diastereomers of $4a^{18}$ are best analyzed after esterification with diazomethane. For 5a,



the signals for one of the tartrate unit's methine protons in the two diastereomeric products are readily resolved in C_6D_6 at δ 6.54 and 6.58 allowing the diastereomeric ratio to be determined by integration. The diastereomeric excess depends upon the ligand varying from 21% with BBEDA to 27% with TPP and 50%



with TOTP. To verify that nothing unusual occurs, the S,S- tartaric ester substrate 6a is also cycloisomerized (eq. 3). Under the same conditions, the mirror image diastereomer 7a forms when TOTP is employed as ligand. Analysis of $8a^{18}$ reveals a 52% de. The choice of tartrate does make a difference. Derivatizing the tartrate diol as a cyclic ketal rather than the dibenzoate gave no asymmetric induction.



The effect of ring size on the asymmetric induction is explored using $1b.^{18}$ Interestingly, the conditions which give a 50% de for the cycloisomerization of 1a (L = TOTP) yields a 71% de for the cycloisomerization of 1b -- the product was also analyzed as its ester $2b.^{18}$ The ¹H nmr spectrum shows the methoxy groups as two singlets at δ 3.76 and 3.75 in CDCl₃. The importance of the free carboxylic acid is evident in both cyclizations. Treatment of the methyl esters 2a or 2b under these conditions give de's of 0-6%.

The question of double asymmetric induction employing a chiral ligand is examined by the use of ligands 9,¹⁸ 10,¹⁴ and 11.¹⁴ While neither an asymmetric version of BBEDA such as 9 nor the bis-amide 10 improves the de relative to achiral ligands, the bicyclic diamide 11 proves interesting since it generates as the major diastereomer what corresponds to the minor diastereomer in all the other cyclizations of 1a and 1b. Thus, from the same substrate 1b, TOTP gives a 71% de favoring one diastereomer; whereas, 11 gives a 60% de favoring the one epimeric at the newly formed stereogenic center.



To establish that the ligand not the chiral auxiliary determines the sense of chirality in the cyclization,

the S,S substrates **6a** and **6b** are cyclized with both TOTP and **11** as the ligands. If the ligand controls the stereochemistry, there should be no reversal of the diastereoselectivity by switching from the achiral to chiral ligand in this case. Indeed, cyclization of **6a** gives 52% and 50% de and cyclization of **6b** gives 71% and 55% de for TOTP and **11** respectively wherein the same diastereomer dominated in all cases.

Ligand 11 operates independently of the chirality of the tartrate moiety. As a result, the simple succinate monoester 12^{18} should give similar results. Indeed, using 3% (dba)₃Pd₂•CHCl₃ and 6% 11 at rt, it cyclizes to give 13^{18} with 50% ee (eq. 4) -- the same level of induction as with the chiral auxillary. What effect does the distance of the carboxylic acid from the newly forming stereogenic center have on the catalytic asymmetric induction? The two carboxylic acids $14a^{18}$ and 15^{18} address this issue. Their cyclizations using 11 give 16^{18} and 17^{18} of 42% and 47% ee respectively (eq. 4). Thus, there is essentially no dependence on the distance



between the carboxylic acid and the newly forming stereogenic center. Nevertheless, the presence of a free acid does make a difference, albeit a smaller one than previously observed as is illustrated by the 24% ee obtained upon cyclizing the ethyl ester 14b related to 14a [3% (dba)₃Pd₂·CHCl₃, 6% 11, 10% HOAc, C₆D₆, rt].

The success of the C₂ symmetric diamide ligand 11 led to the exploration of incorporating both the 2diphenylphosphinobenzoyl unit and a carboxylic acid¹⁹ in the same C₂ symmetric ligand. A simple candidate 18^{18} derived from tartaric acid is readily available as outlined in eq. 5. Cycloisomerizations with this ligand



require temperatures of 60°C which likely decrease the enantioselectivity. Nevertheless, the cycloisomerization of the ester 2b, which previously gave almost no asymmetric induction, now gives a 52% de. A smaller increase was observed in the ee (to 33%) in the cycloisomerization of 14b -- the increase being compromised by the higher temperatures.

These results reveal several factors that appear useful to achieve asymmetric induction in Pd catalyzed cycloisomerizations of engnes. Dibenzoyltartrate is a useful chiral auxiliary, the level of induction being extraordinary considering the distance between the auxiliary and the newly formed stereogenic center. Gratifyingly, newly developed asymmetric ligands prove to be the most successful to date in achieving catalytic asymmetric induction. In both cases, the presence of a free carboxylic acid in the substrate enhances the level of induction. A model in which this carboxylic acid rigidifies the substrate by coordinating to the palladium in

the chiral discriminating step as depicted in 19 for substrates 1a and 1b may account for the ability to transmit



stereochemical information over such large distances. The illustrated folding places the benzoyloxy substituents outside the macrocyclic ring in this conformation and predicts the stereochemistry depicted in 20 for the major diastereomer. The ability of ligand 11 to override this control may stem from the fact that it serves as a bidentate ligand on palladium, thereby precluding bonding of the carboxylate to palladium as depicted in 19. In such an event, the ligand stereochemistry, and not that of the chiral auxiliary determines the stereochemistry of the folding. Thus, this metal catalyzed cycloisomerization does offer promise as an approach for asymmetric synthesis using either chiral auxiliaries or catalytic asymmetric induction.

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